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8 Abstract

9 Traditionally, velvet antler (VA) has been used as a medicine or dietary supplement in East Asia. It contains 10 biologically active compounds that exert anti-inflammatory, anti-fatigue, anti-aging, and anticancer effects. 11 Although demand for VA has increased globally, its supply and consumption are limited due to the low recovery of 12 its bioactive compounds from traditional decoctions. Therefore, alternative extraction methods are required to enrich 13 the active compounds and enhance their biological efficacy. The extract has been reported to protect against 14 neuropathological conditions in brain cells and suppress oxidative stress and neuroinflammation-crucial for the 15 initiation or progression of neurodegenerative diseases. Therefore, VA is a potential therapeutic agent for 16 neurodegenerative diseases. However, the beneficial effects of VA on astrocytes, which are the predominant glial 17 cells in the brain, remain unclear. In the present study, we investigated the protective effects of enzymatically 18 digested VA extract (YC-1101) on the mitochondria in astrocytes, which are essential organelles regulating 19 oxidative stress. Proteomic and metabolomic results using LC-MS/MS identified enriched bioactive ingredients in 20 YC-1101 compared to hot water extract of VA. YC-1101 displayed significant protective effects against 21 mitochondrial stressors in astrocytes compared with other health functional ingredients. Altogether, our results 22 showed improved bioactive efficacy of YC-1101 and its protective role against mitochondrial stressors in astrocytes. 23 24 Keywords (3 to 6): Velvet extract, enzymatic digestion, mitochondria, lipopolysaccharide, scopolamine,

25 primary astrocytes

- 26
- 27

Introduction

28 Velvet antler (VA) has been used as a traditional medicine in East Asia for thousands of years to treat mammary 29 hyperplasia, immune dysfunction, cardiovascular diseases, cancer, and gynecological problems [1]. Previous studies 30 have reported that VA has bioactive properties including anti-inflammatory, anti-aging, and anti-fatigue effects, as 31 well as, being known to strengthen the muscles and bones [2]. In addition, VA is consumed as a dietary supplement 32 in the form of dried slices or as medicinal soup in several regions, including East Asia, the USA, Canada, and New 33 Zealand [3]. Although the global production of VA is rapidly growing to meet the medicinal market demands for 34 older adults [4], its supply and consumption are limited. The traditional formulation of VA is a decoction or 35 medicinal liquor using hot water; however, hot water used for extraction possibly denatures bioactive compounds 36 and reduces their pharmacological efficiency [3]. In addition, extraction using organic solvents is restricted to the

food industry [5]. Enzymatic methods are now being increasingly used to study the efficacy and enrich the bioactive
 compounds of VA [6].

39 Astrocytes are the predominant glial cells implicated in several brain functions and diseases. Astrocytes maintain the 40 central nervous system (CNS) homeostasis by controlling synapses, neurotransmitters, ions, water, and pH in the 41 brain [7]. In addition, astrocytes undergo morphological changes and regulate neuroinflammation in response to the 42 release of pro-inflammatory mediators during aging, injury, and pathological conditions. The mitochondria in 43 astrocytes have been reported to be involved in several physiological conditions, such as generation of ATP, 44 regulation of oxidative stress and neuroinflammation response [8], fatty acid metabolism [9], transmitophagy [10], 45 and controlling glutamate metabolism [11] and intracellular Ca2+ concentration [12]. Disruption of mitochondrial 46 functions in astrocytes can inactivate or activate the astrocytes, which initiates or aggravates neurodegenerative 47 diseases [13]. 48 Mitochondria are interconnected structures with a negative membrane potential inside the mitochondrial matrix. 49 They provide an electrochemical proton gradient for ATP production and reactive oxygen species (ROS) formation 50 via oxygen reduction. Mitochondrial morphology and mitochondrial membrane potential (MMP) are intricately 51 related to mitochondrial functions and share reciprocal interrelationships. Mitochondrial size is associated with 52 MMP, oxidative stress, and ATP production [14, 15]. Conversely, MMP is required for the regulation of 53 mitochondrial morphology and superoxide formation [16]. In addition, deficiency of mitofusin (Mfn)2, a 54 mitochondrial fusion factor, reduces MMP. Conversely, loss of MMP causes abnormal mitochondrial morphology 55 by regulating optic atrophy 1 (OPA1), a mitochondrial fusion protein [17]. In the present study, we investigated the 56 effects of the enzymatically digested VA extract on mitochondrial characteristics, including mitochondrial 57 morphology, mitochondrial superoxide, and MMP, in primary astrocytes treated with mitochondrial stressors such 58 as lipopolysaccharide (LPS) or scopolamine. 59 60 **Materials and Methods** 61

62 Materials and reagents

- Lipopolysaccharide (LPS, 10 ng/mL) serotype 055:B5 was purchased from Merck (St. Louis, MO, USA), and its
 concentration was determined as previously described [18]. Scopolamine (2 mM) was purchased (Merck), and its
 concentration was determined as previously described [19].
- 66

67 Preparation of compounds

68 YC-1101 (HENKIV®, deer velvet [Cervus elaphus L.]) and YHC-BE-2038 were obtained from Yuhan Care Co.,

69 Ltd. (Seoul, Korea). To prepare YC-1101, the freeze-dried powder obtained from New Zealand was mixed with

70 water and flavourzyme (Daejongzymes, Seoul, Korea). YHC-BE-2038 was prepared by excluding the enzyme

71 decomposition process [20]. Red ginseng extract was purchased as red ginseng concentrate from the Gimpo Paju

72 Ginseng Agricultural Cooperative (Paju, Republic of Korea). This extract contained the ginsenosides Rg1, Rb1, and

73 Rg3. AGCP (Angelica gigas Nakai, Cnidium officinale Makino and Paeonia lactiflora Pallas) was a freeze-dried

74 extract purchased from Atomy HemoHIM (Gongju, Korea). Aloe gel extract was provided by AMB Wellness

75 (Gómez Palacio, Durango, Mexico) and had a total polysaccharide content > 10%. Beta-glucan and ginsenoside

- 76 compound K were purchased from Merck.
- 77

78 Sample preparation for proteomic and metabolomic analyses

79 YC-1101 and YHC-BE-2038 samples were sonicated using a focused ultrasonicator (Covaris S-Series, Covaris Inc.,

80 Woburn, MA, USA) with Adaptive Focused Acoustics in 8 M urea to extract the proteome. The protein

81 concentration of the samples was measured using the Pierce bicinchoninic acid (BCA) Protein Assay Kit (Thermo

82 Scientific, Rockford, IL, USA). Next, 200 µg of the protein sample was mixed with 100 µL of 0.1 M Tris-HCl

83 containing 8 M urea at pH 8.5 in a 1.5 mL Eppendorf tube. Denatured protein was reduced with 1 µL of 500 mM

84 Tris(2-carboxyethyl)phosphine (TCEP) (Thermo Fisher Scientific) for 20 min at 37°C and 900 rpm. Subsequently,

85 the sample was alkylated with 3 μL of 500 mM iodoacetamide (Merck) for 30 min at 25°C and 300 rpm in the dark.

86 The protein sample was digested with sequencing-grade modified trypsin (Promega, WI, USA) at an enzyme:protein

87 ratio of 1:20 (w/w) at 47°C. The peptides were desalted using Sep-Pak Vac 1 cm3 (50 mg) C18 cartridges (Waters

88 Corporation, Milford, MA, USA) and subsequently dried using SpeedVac (Bio-Rad, Hercules, CA, USA).

89 For metabolomic analysis, YC-1101 was incubated in water at 4°C for 10 min and precipitated with 80% methanol.

90 Following that, the mixture was centrifuged at 2,000 rpm for 10 min. The supernatant was transferred to an

91 Eppendorf tube and dried using a SpeedVac.

- 92
- 93 Liquid chromatography-mass spectrometry (LC-MS)/MS analysis

94 Before liquid chromatography-mass spectrometry (LC-MS)/MS proteomic analysis, dried samples were re-95 suspended in 50 µL of 0.1% formic acid, and 1 µg of peptides from the sample was injected into the Acclaim 96 PepMapTM 100 C18 nano-trap column (3 μm, 100 Å, 75 μm × 2 cm) at a flow rate of 2.5 μL/min for 5 min in 0.1% 97 formic acid. The peptides were separated using a PepMapTM RSLC C18 nano-column (2 μm, 100 Å, 75 μm × 50 98 cm) at a flow rate of 300 nL/min. Analysis was performed with a Q-Exactive orbitrap hybrid mass spectrometer 99 along with an Easy nano-ESI-LC 1000 system (Thermo Fisher Scientific). The mobile phase consisted of water 100 containing 0.1% formic acid (v/v, solvent A) and 0.1% formic acid in acetonitrile (v/v, solvent B). The gradient was 101 set linearly as follows: holding at 4% solvent (B) for 14 min, from 4% to 40% solvent (B) for 136 min, from 40% to 102 96% solvent (B) for 0.1 min, holding at 96% solvent (B) for 9.9 min, from 96% to 4% solvent (B) for 0.1 min, and 103 equilibrating the column with 4% solvent (B) for 19.9 min. 104 For LC-MS/MS analysis of the metabolites, the dried sample was dissolved in 100 µL of 0.1% formic acid in water. 105 The solution was injected into an Eclipse Plus C18 RRHD column (1.8 µm, 50 mm × 2.1 mm) at a flow rate of 200 106 µL/min, and the analysis was performed using a Q-Exactive orbitrap hybrid mass spectrometer with an Easy nano-107 ESI-LC 1000 system (Thermo Fisher Scientific). The mobile phase consisted of water containing 0.1% formic acid 108 (v/v, solvent A) and 0.1% formic acid in 80% acetonitrile (v/v, solvent B). The gradient was set up linearly as 109 follows: 2.5% solvent (B) for 5 min, 2.5% to 12.5% solvent (B) for 29 min, 12.5% to 25% solvent (B) for 11 min, 110 25% to 37.5% solvent (B) for 11 min, 37.5% to 80% solvent (B) for 17 min, 80% to 2.5% solvent (B) for 0.1 min 111 and equilibration of the column at 4% solvent (B) for 2.5 min. 112 Data-dependent acquisition was adopted, and the top 10 precursor peaks were first fragmented with higher-energy 113 collisional dissociation and 27 others with normalized collisional energies. The following MS/MS setup parameters 114 were used: ionization mode, positive ion electrospray; spray voltage, +2.0 kV; capillary temperature, 270°C; S-lens,

- 115 +55 V; and isolation width, \pm 3 Da. Ions were scanned at a high resolution (70,000 in MS1, 17,500 in MS2 at m/z
- 400), and the MS scan range was 150 to 1000 m/z (proteomic analysis) or 400 to 2000 m/z (metabolite analysis) at
- both MS1 and MS2 levels. A dynamic exclusion time of 30 s was set to minimize the repeated analyses of the same
- 118 precursor ions.
- 119
- 120 Proteomic and metabolomics data analyses

121 Raw MS/MS data files were first converted to the mzXML format using an MSConverter and subsequently analyzed 122 with Comet (Version 2016.01 rev.2) against the UniProt cervus+elaphus FASTA file. The identification settings 123 were as follows: trypsin with a maximum of two missed cleavages; 10 ppm precursor mass tolerance and 0.02 Da 124 fragment mass tolerance; variable modifications: oxidation of methionine (+15.995 Da), carbamylation of protein N-125 terminus (+43.006 Da), and carbamidomethylation of cysteine. The search results in the pepXML format were 126 imported into the trans-proteomic pipeline (TPP). In the TPP, a cut-off probability score of 0.95 was used for this 127 work. It revealed a $\leq 1\%$ peptide false discovery rate (FDR) based on a PeptideProphet probability cut-off score of 128 0.95. ProteinProphet infers the simplest list of proteins consistent with the peptides identified for protein-level 129 validation and final inference. 130 The MS/MS data files were analyzed using Compound Discoverer 3.1.1.12. Raw data were analyzed in untargeted 131 metabolomics with statistics detecting unknown compounds with IDs using an online database and mzlogic mode. 132 The compounds were identified using mzCloud (ddMS2) and ChemSpider software. All compounds were searched 133 for ddMS2 data similarities using mzCloud. 134 135 Primary cortical astrocyte culture and transfection 136 Animals were handled according to the National Institutes of Health Guidelines for Laboratory Animal Care. The 137 animal experiments were approved by the Institutional Animal Care and Use Committee of Chung-Ang University 138 (2020-00049). Sprague-Dawley rat pups (postnatal day 1, weighing 7–9 g) were purchased from Young Bio 139 (Gyeonggi-do, Republic of Korea) and used for culturing primary astrocytes following a previously described 140 method [18]. Briefly, the cortices were dissected from the pup's brain and dissociated into cells by mechanical 141 pipetting. Primary cultures were seeded onto poly-D-lysine (PDL, Merck)-coated T75 flasks supplemented with 142 Dulbecco's modified Eagle's medium/nutrient mixture F12 (DMEM/F12, Thermo Fisher Scientific) containing 10% 143 fetal bovine serum (FBS, GW Vitek, Seoul, Republic of Korea), and penicillin/streptomycin (Thermo Fisher 144 Scientific) and grown for 7 days at 37°C in a humidified chamber with 5% CO2. Subcultures were incubated with 145 0.25% trypsin-ethylenediaminetetraacetic acid, and astrocytes were grown for an additional 5 days in DMEM/F12

146 containing 10% FBS.

147 Transfection was performed on the third day of subculture using Lipofectamine LTX Reagent with PLUSTM Reagent

148 (Thermo Fisher Scientific) supplemented with Opti-MEM (Thermo Fisher Scientific). The amount of plasmid DNA

149 was determined according to the manufacturer's instructions. Fresh medium was added 6 h after the transfection.

151 Plasmids

Translocase of the outer membrane 20 (Tom20; Addgene plasmid #174188) was a gift from Yusuke Ohba. Drp1
(Addgene #49152) and mitofusin 2 (Mfn2; Addgene #141156) were gifted by Gia Voeltz.

154

155 MTT assay

156 Toxicity in primary astrocytes was determined using the 3-(4, 5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium

157 bromide (MTT) assay. Astrocytes were incubated with compounds for 24 h at the indicated concentrations and

158 treated with MTT (100 µg/mL) solution. After 1 h of incubation, the insoluble formazan blue was extracted with

dimethyl sulfoxide (DMSO), and the absorbance was measured using a microplate reader (BioTek Instruments, VT,

160 USA).

- 162 Imaging and analyses
- 163 Astrocytes seeded onto coverslips were treated with CMXRos (100 nM, Thermo Fisher Scientific) and incubated for
- 164 15 min at 37°C. The coverslips were fixed with 4% paraformaldehyde for 15 min and permeabilized with 0.1%
- 165 Triton X-100 in Dulbecco's phosphate buffered saline (DPBS) for 10 min at 15 to 25°C. Coverslips were incubated
- 166 in DPBS containing 1% bovine serum albumin (Merck) and anti-glial fibrillary acidic protein (GFAP) antibody
- 167 (Santa Cruz, TX, USA) at 4°C overnight. Thereafter, the coverslips were washed thrice and incubated in DPBS
- 168 containing 1% bovine serum albumin (Merck) and Alexa Fluor 488® -conjugated secondary antibody (Abcam,
- 169 Cambridge, UK) for 1 h at 15 to 25°C. Coverslips were mounted using a mounting solution (Biomeda, CA, USA).
- 170 The mitochondrial superoxide activity was measured using MitoSOX (Thermo Fisher Scientific). MitoSOX was
- applied to coverslips and incubated for 10 min at 37°C. After incubation, cells were rapidly washed thrice with a
- bath solution containing 119 mM NaCl, 2.5 KCl, 25 mM HEPES (pH 7.4), 2 mM CaCl2, 2 mM MgCl2, and 30 mM
- 173 glucose. The coverslips were moved to a living cell chamber (Gataca Systems, France) to capture images.
- 174 Images were captured using a confocal microscope (LSM 800, Zeiss, Germany). The fluorescence intensity of each
- 175 indicator was measured in a single cell and is shown as arbitrary units (a.u.) for quantification.
- 176
- 177 Statistical analysis

178	Data are presented as the mean \pm standard error of the mean (SEM) of three independent experiments. Data were
179	analyzed using the Mann–Whitney U test for non-parametric tests or one-way analysis of variance (ANOVA),
180	followed by a post hoc Tukey's test, using GraphPad Prism software (version 5.0; GraphPad Software, Inc., CA,
181	USA). Statistical significance was set at $p < 0.05$.
182	
183	
184	Results
185	Identification of bioactive compounds in YC-1101
186	VA was extracted using two different methods: traditional (using hot water) and enzymatic. YC-1101 was
187	enzymatically digested, and YHC-BE-2038 was digested using hot water. The bioactive compounds were analyzed
188	using LC-MS/MS. A total of 143 peptides and 44 proteins were detected in YC-1101, which were absent in YHC-
189	BE-2038 (Fig. 1A). In addition, 23 metabolites were detected in YC-1101 using metabolomic analysis (Table 1 and
190	Fig. 1B). These results indicated that bioactive low-molecular weight of VA peptides were enriched in
191	enzymatically digested VA compared to the traditional water extract of VA.
192	
193	Cellular toxicity of YC-1101 and other compounds in primary cultured astrocytes
194	We compared the effect of YC-1101 on the mitochondria in primary cultured astrocytes with that of YHC-BE-2038.
195	Additionally, the effect of YC-1101 was also compared with several compounds known to restore mitochondria,
196	such as red ginseng [21], AGCP [22], aloe gel [23], beta-glucan [24], and compound K [25]. Initially, the
197	appropriate concentration of each compound was determined using the MTT assay. Primary cortical astrocytes were
198	incubated with each compound for 24 h at 37°C and cell viability was measured. In astrocytes, all compounds except
199	compound K at a concentration of 0.5 mg/mL and 2 μ M of compound K had not shown significant cellular toxicity
200	(Fig. 2). Therefore, these concentrations were used for subsequent analyses.
201	
202	Effects of YC-1101 on mitochondrial membrane potential in LPS- or scopolamine-treated primary astrocytes
203	Scopolamine and LPS are known to damage mitochondrial physiology by disrupting the mitochondrial membrane
204	potential (MMP) and enhancing mitochondrial fragmentation and the activity of mitochondrial superoxide [26, 27].
205	We initially investigated the rescue effects of YC-1101 against LPS- and scopolamine-induced MMP disruption.

- 206 Primary astrocytes were first incubated with the compounds for 1 h before being incubated with LPS or scopolamine
- for 24 h at 37°C. The highest concentration of DMSO (0.1%) was used as the vehicle (Veh). MMP was measured by
- 208 incubation with CMXRos, which is a cationic fluorescent dye that is trapped inside the mitochondrial matrix by

209 negative MMP [28]. After fixation, the astrocytes were immunostained for glial fibrillary acidic protein (GFAP), an

- astrocyte marker. LPS treatment decreased the intensity of CMXRos compared to the Veh group (Fig. 3A),
- 211 indicating that LPS induced the depolarization of MMP in astrocytes. Intriguingly, YC-1101 restored the LPS-
- induced depolarization of MMP in astrocytes; however, the restoration effect was not observed with YHC-BE-2038.
- 213 These results indicated that the effectiveness of VA on mitochondria depends on the extraction method used. In
- addition, red ginseng, AGCP, aloe gel, beta-glucan, and compound K did not induce significant changes in MMP
- 215 compared to LPS-treated astrocytes.
- 216 We further investigated the effect of YC-1101 on the MMP in scopolamine-treated astrocytes. Consistent with the
- 217 LPS results, YC-1101 reversed the reduction in MMP in scopolamine-treated astrocytes (Fig. 3B). However, other
- 218 compounds did not induce significant changes in MMP compared to scopolamine-treated astrocytes.
- 219
- 220 Effects of YC-1101 on mitochondrial superoxide in LPS- or scopolamine-treated astrocytes
- 221 Superoxide is primarily produced by the electron transport chain in the mitochondria, which causes mitochondrial
- dysfunction, cellular oxidative stress, and related diseases [29]. MitoSOX is a mitochondria-targeted fluorescent
- 223 probe that is rapidly oxidized by superoxide and produces fluorescence in living cells [30]. Therefore, we
- 224 investigated the effects of YC-1101 on mitochondrial superoxide production using MitoSOX in living astrocytes
- treated with LPS or scopolamine. LPS enhanced the intensity of MitoSOX, which was attenuated by YC-1101
- treatment (Fig. 4A). Additionally, YC-1101 similarly restored MitoSOX upregulation in scopolamine-treated
- astrocytes (Fig. 4B). However, other compounds exhibited MitoSOX intensities that were comparable to those of
- 228 LPS- or scopolamine-treated astrocytes.
- 229
- 230 Effects of YC-1101 on mitochondrial morphology in LPS- or scopolamine-treated primary astrocytes
- 231 Next, we investigated the effects of YC-1101 on mitochondrial morphology. Tom20 is expressed in the outer
- 232 mitochondrial membrane, which is necessary for the transport of mitochondrial proteins [31]. Furthermore, the
- 233 overexpression of Tom20 selectively displays mitochondrial morphology [32]. Astrocytes were transfected with
- Tom20 and treated with LPS or scopolamine, as described above. LPS induced a reduction in Tom20 staining

235	intensity and mitochondrial fragmentation in astrocytes, and YC-1101 rescued these LPS-induced changes in
236	mitochondrial morphology (Fig. 5A). Similarly, YC-1101 enhanced the scopolamine-induced suppression of Tom20
237	expression in astrocytes (Fig. 5B). Compared to LPS- or scopolamine-treated astrocytes, other compounds did not
238	show significant differences in Tom20 expression.
239	
240	Effects of YC-1101 on mitochondrial fission and fusion factors in LPS or scopolamine-treated primary astrocytes
241	We investigated the effects of YC-1101 on the expression of mitochondrial fission and fusion factors. Drp1- or
242	Mfn2-overexpressed astrocytes were treated with LPS or scopolamine. YC-1101 attenuated LPS- or scopolamine-
243	induced elevation in Drp1-overexpressed astrocytes (Figs. 6A and B). However, LPS or scopolamine did not change
244	the expression of Mfn2 in astrocytes, and YC-1101 showed a similar expression of Mfn2 following LPS or
245	scopolamine treatment (Figs. 7A and B). These results indicated that YC-1101 regulates mitochondrial morphology
246	by controlling the expression of fission factors in astrocytes. The other compounds did not induce significant
247	changes in Drp1 or Mfn2 expression in LPS- or scopolamine-treated astrocytes.
248	
249	

Discussion

251 Several active compounds have been identified in VA, including peptides, amino acids, steroids, minerals, inorganic 252 elements, growth factors, and other ingredients. Because bioactive proteins in organisms typically exist in an 253 inactive state, the recovery of these proteins through conformational changes is required to achieve efficacious 254 therapeutic effects. Although the water extraction method is common, easy, and displays biological activities, it 255 achieves a low recovery of bioactive compounds owing to difficulties in conformational arrangements and 256 complicated extraction [33]. Therefore, alternative extraction methods for VA, such as enzymatic hydrolysis, 257 chemical hydrolysis, fermentation extraction, and ultrasonic- or microwave-assisted extraction, have been suggested 258 to improve its biological efficacy [34]. 259 Previous studies have indicated the neuropharmacological activities of proteins obtained from VA extracts, 260 including the regulation of synaptic plasticity, regeneration of motor neurons, and promotion of neurite outgrowth 261 [35]. In addition, VA has exhibited beneficial effects in neurological and neurodegenerative diseases such as 262 ischemia, Alzheimer's disease (AD), and Parkinson's disease (PD). For instance, VA extract ameliorated the

264 [36, 37]. Furthermore, VA exerted protective effects against 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-265 induced neurotoxicity by reducing oxidative stress and proinflammatory factors [37]. Therefore, VA has been 266 considered a therapeutic agent for neurodegenerative diseases. Intriguingly, enzymatically digested VA extract more 267 efficiently decreased the A β accumulation and A β -induced paralysis than cold or hot water VA extract. In addition, 268 the enzymatically digested VA extract primarily comprises substances with lower molecular weights, in contrast to 269 the cold- or hot-water VA extracts with higher molecular weight compounds. Similarly, we found that peptides and 270 proteins were enriched in the enzymatically digested extract of VA compared to YHC-BE-2038, which is a hot 271 water extract of VA (Fig. 1). In addition, YC-1101 demonstrated significant protective effects against mitochondrial 272 dysfunction in LPS- or scopolamine-treated astrocytes. Therefore, the enzymatic digestion method could be 273 extended to extract preparations of other traditional medicines to increase their efficacy. 274 Although several indicators have been developed and employed to investigate mitochondrial physiology and 275 functions, controversial results of mitochondrial physiology have been reported, such as millimolar-range 276 mitochondrial Ca2+ detection using chameleon or aequorin mutants [38, 39] in contrast to the micromolar-range 277 readings from chelator probes [40]. In addition, each mitochondrial indicator only represents a single mitochondrial 278 characteristic, which limits the explanation of other mitochondrial physiologies. Therefore, our study confirmed the 279 beneficial effects of VA on mitochondrial functions using different indicators of MMP, mitochondrial superoxide, 280 mitochondrial morphology, and their regulatory factors in LPS and scopolamine treatment, which are well-known 281 mitochondrial stressors in astrocytes. 282 Mitochondria are dynamic organelles that rapidly change their morphology in response to cellular signals that reflect 283 mitochondrial functions, including metabolism, energy levels, stress, and apoptosis. The size and number of 284 mitochondria are primarily regulated by two independent and opposing factors, fission and fusion proteins, which 285 belong to the dynamic GTPase protein family. In addition, fission and fusion factors are essential for controlling the 286 mitochondrial quality. Fission factors segregate and facilitate the elimination of damaged mitochondria [41], 287 whereas fusion factors reduce mitochondrial stress by exchanging contents with adjacent mitochondria [42]. 288 Dynamin-related protein (Drp)1, mitochondrial fission factor (Mff), and mitochondrial fission 1 protein (Fis1) are 289 representative fission factors, and mitofusin (Mfn)1, Mfn2, and optic atrophy (Opa)1 are major fusion factors in

aggregation of A β and α -synuclein, which are the major neuropathologies contributing to AD and PD, respectively

- 290 mammalian cells [43]. A disruption in the balance between fission and fusion factors results in aberrant
- 291 mitochondrial morphology and is related to cellular stress, aging, and neurodegenerative diseases. Mutations in the

292	Drp1 gene are known to block mitochondrial fission and elongate mitochondria by a relative increase in fusion as a
293	normal expression of fusion factors [44]. LPS- and scopolamine-induced mitochondrial fragmentation is linked to
294	mitochondrial dysfunction, such as elevated oxidative stress and reduced ATP production [14]. In the present study,
295	VA largely regulated mitochondrial morphology by reducing fission factors in LPS- and scopolamine-treated
296	astrocytes.
297	In summary, pretreatment with enzymatically digested VA extract ameliorated the abnormal changes in
298	mitochondrial physiology induced by mitochondrial stressors in primary astrocytes. YC-1101 rescued MMP and
299	repressed mitochondrial superoxide and mitochondrial fragmentation by suppressing fission factors. In addition,
300	YC-1101 contained bioactive low molecular weight of VA peptides and demonstrated better efficacy than hot water
301	extraction. These data suggest that enzymatic digestion has a potently enhancing effect on the mitochondrial
302	function. Altogether, YC-1101 is a promising health functional ingredient in therapeutics and supplemental foods
303	for the treatment of mitochondria-associated neurodegenerative diseases. Furthermore, enzymatic digestion of VA is
304	an efficient method to meet the global demand for VA by improving its biological activities.
305	
306	
307	Acknowledgments
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Figure legends



443 (Benzyloxy)anilino]carbonylamino)-N-(3-morpholinopropyl)benzamide, (Ac)2-L-Lys-D-Ala, Fasoracetam. 2; Leu-444 Leu, Pyridoxamine. 3; Troxipide. 4; Ophthalmic acid. 5; 1-O-[(3β,5ξ,9ξ)-3-[6-Deoxy-α-L-mannopyranosyl-(1->4)-

 $[\beta$ -D-galactopyranosyl-(1-2)]- β -D-glucopyranuronosyl]oxy-28-oxoolean-12-en-28-yl]- β -D-glucopyranose.

446 Nitrendipine. 7; NP-013663, 5-trans prostaglandin F2B, A-12(13)-EpODE, Stearidonic acid, (12Z)-9,10,11-

6;

447 trihydroxyoctadec-12-enoic acid, 6,7,8-trimethoxy-3-phenyl-2-thioxo-1,2,3,4-tetrahydroquinazolin-4-one, 1,4-

448 Bis(cyclohexylamino)-9,10-anthraquinone, (+/-)8-HEPE, Dodecyltrimethylammonium. 8; 2,3-Dihydroxypropyl

449 stearate.

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445







454 Fig. 2. Effects of YC-1101 on cell viability in primary cultured astrocytes.

455 Astrocytes were treated with each compound for 24 h, and viability was determined using the MTT assay. Data are

456 presented as the mean ± standard error of the mean (SEM) and were analyzed for statistical significance using one-

457 way analysis of variance (ANOVA) followed by a post hoc Tukey's test. Statistical significance was set at p<0.05.

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462 Fig. 3. Effects of YC-1101 on mitochondrial membrane potential in LPS- or scopolamine-treated astrocytes.

The astrocytes were treated with the compounds for 1 h and further incubated with LPS or scopolamine for 24 h. After incubation, CMXRos was used to detect MMP, and the cells were fixed for immunostaining. GFAP was used as an astrocyte marker. Representative images and quantification of MMP in astrocytes. (A) Effects of the compounds on LPS-induced depolarization of MMP. YC-1101 attenuates LPS-induced MMP depolarization (B) Scopolamine treatment, as in Panel A. Data are presented as the mean \pm SEM. Student's t-test. ***p<0.001 as compared with the Veh, ###p<0.001 as compared with the Veh + LPS or scopolamine. Scale bar = 10 µm.

469





473 Fig. 4. Effects of YC-1101 on mitochondrial superoxide in LPS- or scopolamine-treated living astrocytes.

474 At the end of treatment, astrocytes were incubated with MitoSOX to measure mitochondrial superoxide levels. 475 Images of MitoSOX were captured in living astrocytes. Representative images and quantification of MitoSOX 476 expression. (A) YC-1101 ameliorated the LPS-induced elevation of mitochondrial superoxide activity. (B) Similar 477 to panel A but treated with scopolamine. Data are presented as the mean \pm SEM. Student's t-test. ***p<0.001 as 478 compared with the Veh, ###p<0.001 as compared with the Veh + LPS or scopolamine. Scale bar = 10 µm.

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483 Fig. 5. Effects of YC-1101 on mitochondrial fragmentation in LPS- or scopolamine-treated astrocytes.

484 Astrocytes were transfected with Tom20 to visualize mitochondrial morphology and treated with LPS or 485 scopolamine. At the end of the treatment, images of Tom20 in living astrocytes were captured. Representative 486 images and quantification of Tom20 expression. (A and B) YC-1101 attenuated mitochondrial fragmentation 487 induced by LPS or scopolamine. Data are presented as the mean \pm SEM. Student's t-test. *p<0.05, compared to Veh; 488 #p<0.05, compared to Veh + LPS or scopolamine. Scale bar = 10 µm.

489





493 Fig. 6. Effects of YC-1101 on Drp1 expression in LPS- or scopolamine-treated astrocytes.

494 Drp1 transfection in astrocytes was followed by treatment with LPS or scopolamine. Drp1 images were captured

495 from living astrocytes. Representative images and quantification of Drp1 expression. (A and B) YC-1101 restored

496 Drp1 expression following LPS or scopolamine treatment. Data are presented as the mean \pm SEM. Student's t-test.

497 **p<0.01 as compared with the Veh, #p<0.01 as compared with the Veh + LPS or scopolamine. Scale bar = 10 μ m.

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- 502 Fig. 7. Effects of YC-1101 on Mfn2 expression in LPS- or scopolamine-treated astrocytes.
- 503 As shown in Fig. 7, Mfn2 is expressed in astrocytes. Representative images and quantification of Mfn2 expression.
- 504 (A and B) LPS or scopolamine treatment induced marginal changes in the Mfn2 expression in astrocytes compared
- 505 with the Veh group. Mfn2 expression was similar in all the groups. Data are presented as the mean \pm SEM.
- 506 Student's t-test. Scale bar = $10 \,\mu m$.
- 507

508	Table 1.	Identification	of metabolites in	n YC-1101	using LC-MS/MS
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	Name	Formula	MW ^a	RT ^b (min)	Area (Max.)	MS^2
1	Leu-Val	$C_{11}H_{22}N_2O_3$	230.16	4.388	9248335356	DDA ^c for preferred ion
	3'-Adenosine monophosphate (3'- AMP)	$C_{10}H_{14}N_5O_7P$	347.06	4.372	864964131	DDA for preferred ion
	3-(1-Hydroxyethyl)-2,3,6,7,8,8a- hexahydropyrrolo[1,2-a]pyrazine-1,4- dione	$C_9H_{14}N_2O_3$	198.10	4.392	183176888.2	DDA for preferred ion
	2-([4- (Benzyloxy)anilino]carbonylamino)- N-(3-morpholinopropyl)benzamide	$C_{28}H_{32}N_4O_4$	244.11	4.398	81541554.31	DDA for other ion
	(Ac)2-L-Lys-D-Ala	$C_{13}H_{23}N_3O_5$	301.16	4.487	72810363.32	DDA for preferred ion
	Fasoracetam	$C_{10}H_{16}N_2O_2$	196.12	4.346	45985786.28	No MS2
_	Leu-Leu	$C_{12}H_{24}N_2O_3$	244.17	6.959	589975860.4	DDA for preferred ion
2	Pyridoxamine	$C_8H_{12}N_2O_2$	168.08	7.028	56050776.08	DDA for preferred ion
3	Troxipide	$C_{15}H_{22}N_2O_4$	294.15	9.411	360973783.8	No MS2
4	ophthalmic acid	C ₁₁ H ₁₉ N ₃ O ₆	289.12	11.611	565971241.3	DDA for preferred ion
5	1-O-[$(3\beta,5\xi,9\xi)$ -3-[6-Deoxy-α-L- mannopyranosyl-(1->4)-[β-D- galactopyranosyl-(1->2)]-β-D- glucopyranuronosyl]oxy-28-oxoolean- 12-en-28-yl]-β-D-glucopyranose	$C_{54}H_{86}O_{23}$	1124.52	15.447	5040660497	DDA for preferred ion
6	Nitrendipine	$C_{18}H_{20}N_2O_6$	360.13	52.245	308626777.7	DDA for other ion
7	NP-013663	$C_{18}H_{34}O_5$	352.22	57.953	205483034.7	DDA for preferred ion
	5-Trans prostaglandin F2β	$C_{20}H_{34}O_5$	376.22	57.914	144312489.6	DDA for preferred ion
	A-12(13)-EpODE	$C_{18}H_{30}O_{3}$	294.21	57.981	100950027.2	No MS2
	Stearidonic acid	$C_{18}H_{28}O_2$	276.20	58.026	98917118.98	DDA for preferred ion
	(12Z)-9,10,11-Trihydroxyoctadec-12- enoic acid	$C_{18}H_{34}O_5$	312.22	57.952	72851922.93	DDA for preferred ion
	6,7,8-Trimethoxy-3-phenyl-2-thioxo- 1,2,3,4-tetrahydroquinazolin-4-one	$C_{17}H_{16}N_2O_4S$	344.07	57.934	57223133.62	DDA for preferred ion
	1,4-Bis(cyclohexylamino)-9,10- anthraquinone	$C_{26}H_{30}N_2O_2$	402.23	58.054	46035476.28	DDA for preferred ion
	(+/-)8-HEPE	$C_{20}H_{30}O_{3}$	300.20	57.885	31656498.53	DDA for preferred ion
	Dodecyltrimethylammonium	C ₁₅ H ₃₃ N	227.26	57.941	29874524.83	DDA for preferred ion

8 2,3-Dihydroxypropyl stearate

- ^aMolecular weight.
- 510 ^bRetention time.
- 511 ^cData-dependent acquisition.
- 512 513